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**Biometric Authentication through Advanced  
Voice Recognition**

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**Conference on  
Fraud in CyberSpace  
Washington, DC  
April 17, 1997**



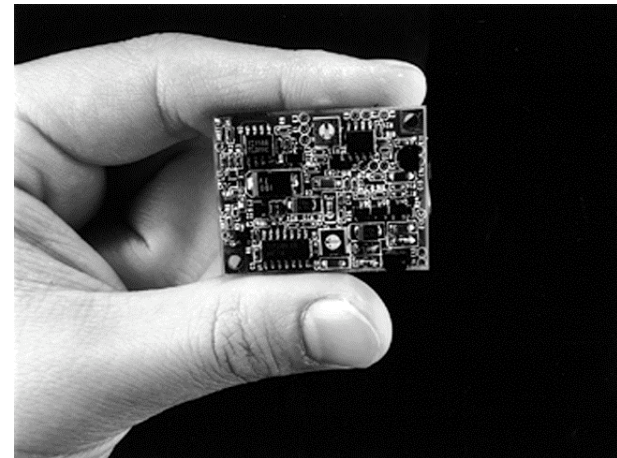
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Lawrence Livermore National Laboratory  
Livermore, CA**



# Outline

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- **Introduction—need for improved biometric devices**
- **MicroPower EM sensors**
  - The sensor system
  - Sample data
- **Use of the MicroPower sensors for speaker validation**
- **Other applications**



# Biometric devices automatically verify identity using one or more physical feature or repeatable actions

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Examples currently in use include:

- **Hand Geometry**
- **Retina Scanner**
- **Finger print**
- **Handwriting**
- **Acoustic voice recognition system**



# For a biometrics system to be useful for safety and security applications it must provide

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- **Unique identification**
- **Repeatable results**
- **A low false alarm rate**
- **Insensitivity to variations (e.g., common colds, illness, etc.)**
- **Resistance to tampering and falsification (voice imitations)**
- **An audit trail for alarm/incident resolution**
- **Performance and cost advantages over manual procedures**

**The MicroPower EM sensor system can satisfy these requirements**



# Outline

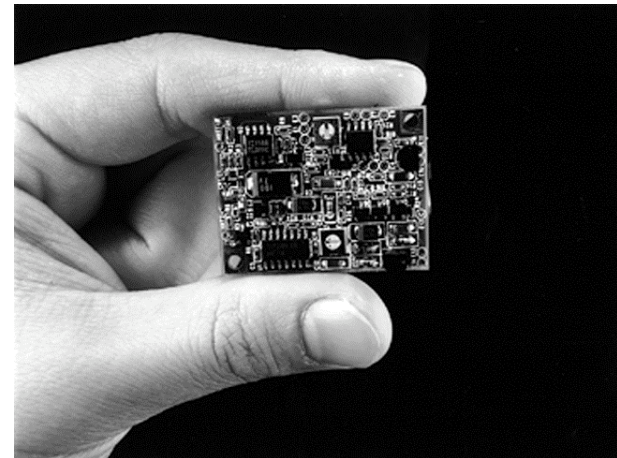
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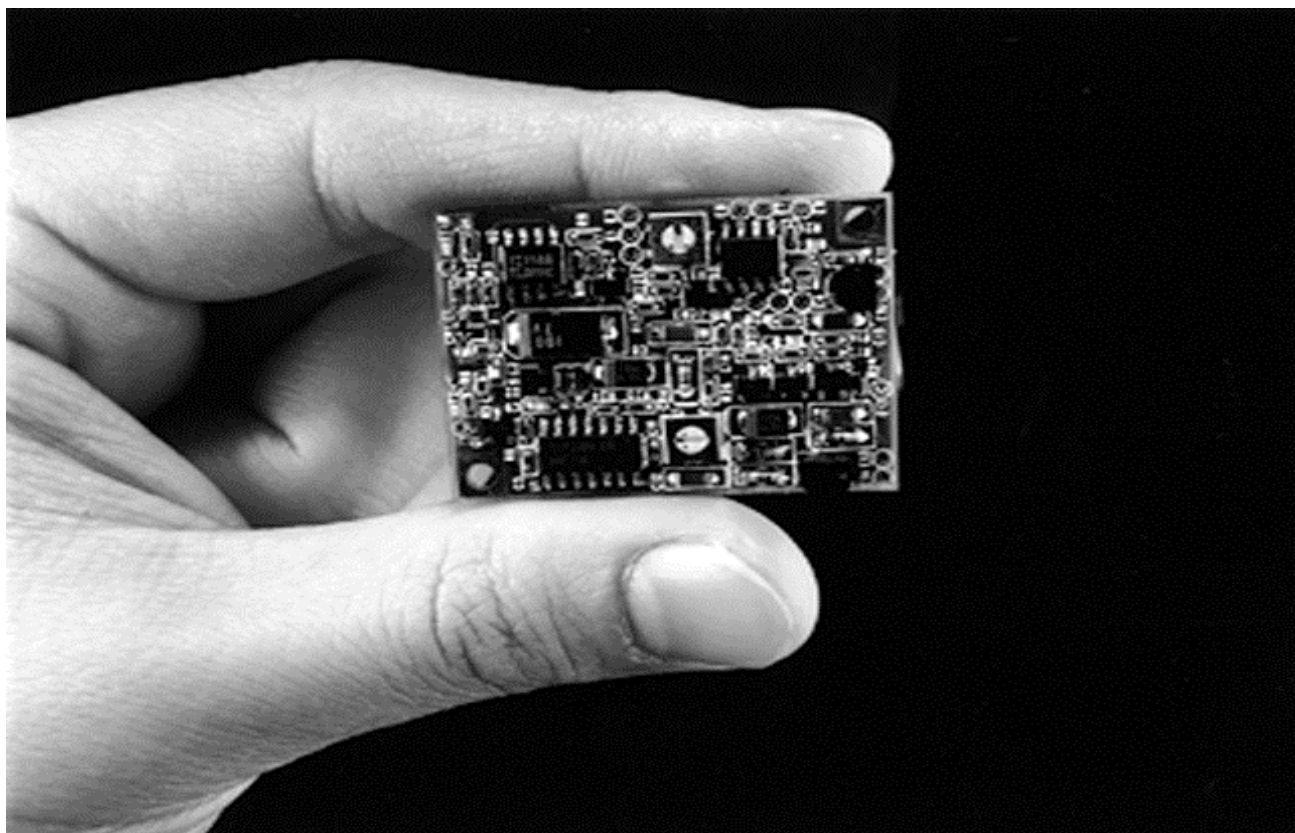


# **We are exploring a new biometric device using one or more miniature micropower radar units**

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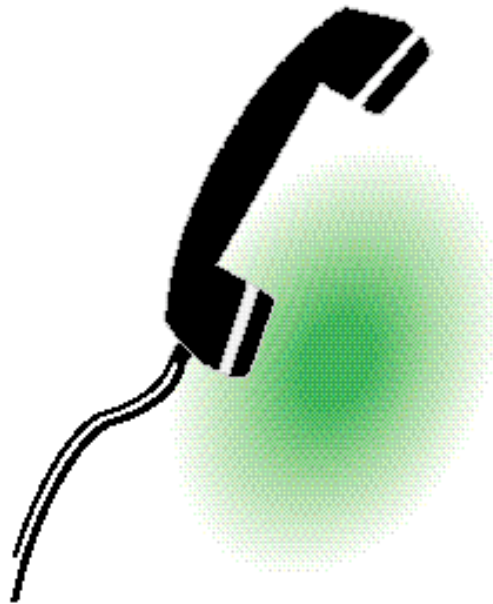
- **The unit can be used to measure many speech articulator conditions**



# EM speech articulator sensors are easily added to telephone handsets



Telephone handset

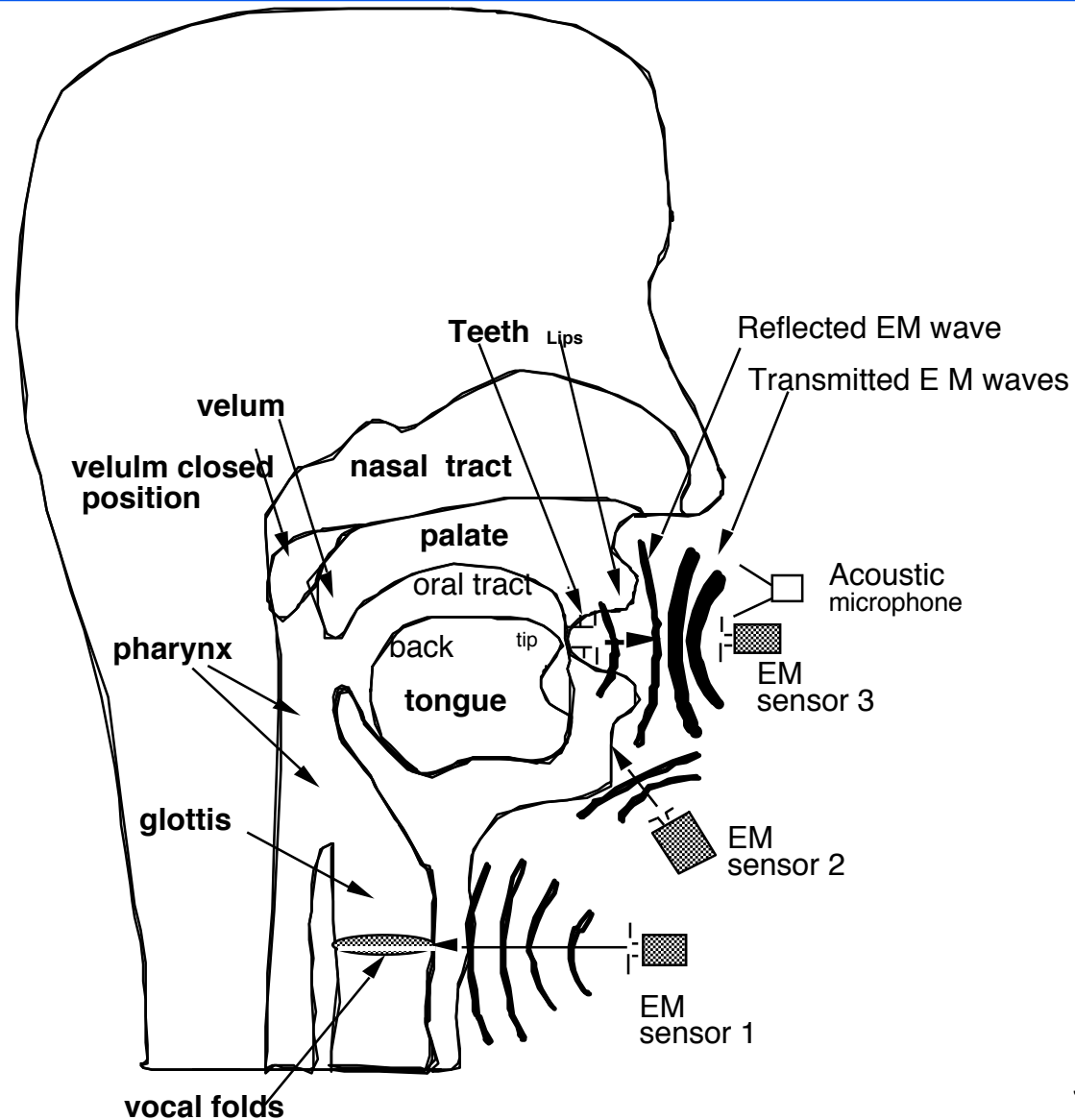


EM wave field

EM/Acoustic handset in use

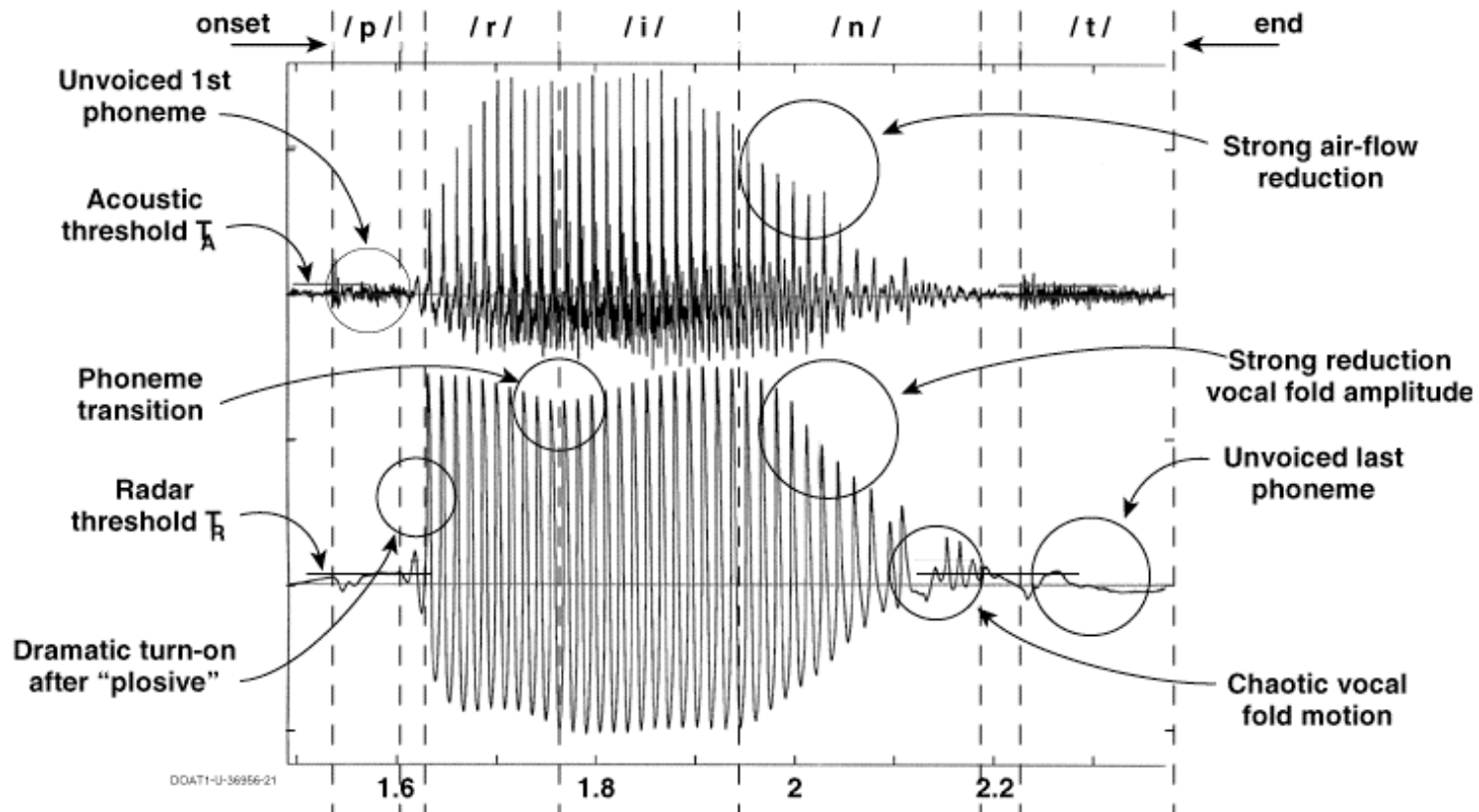


# Miniature micropower radars can measure articulator positions and motions





# Simultaneous acoustic and glottal-radar signals for isolated word "print"



## **MicroPower EM sensors vastly increase an individual's characteristic speech related information**



- Provides locations of vocal articulator interfaces during human speech at up to 1 kHz rates
  - Measures vocal folds, tongue, lips, jaw, velum
  - Measures articulators not influencing the acoustics
- Enables the measurement of the vocal fold motions and their excitation of speech for each cycle up to rates  $> 10\text{kHz}$
- Obtains physiological values of each individual's speech organs and their EM wave reflection coefficients
- Provides accurate timing of articulator interface motions to acoustic speech output signal

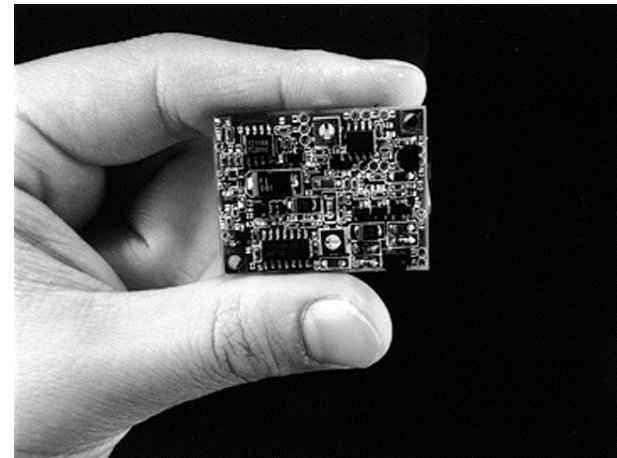


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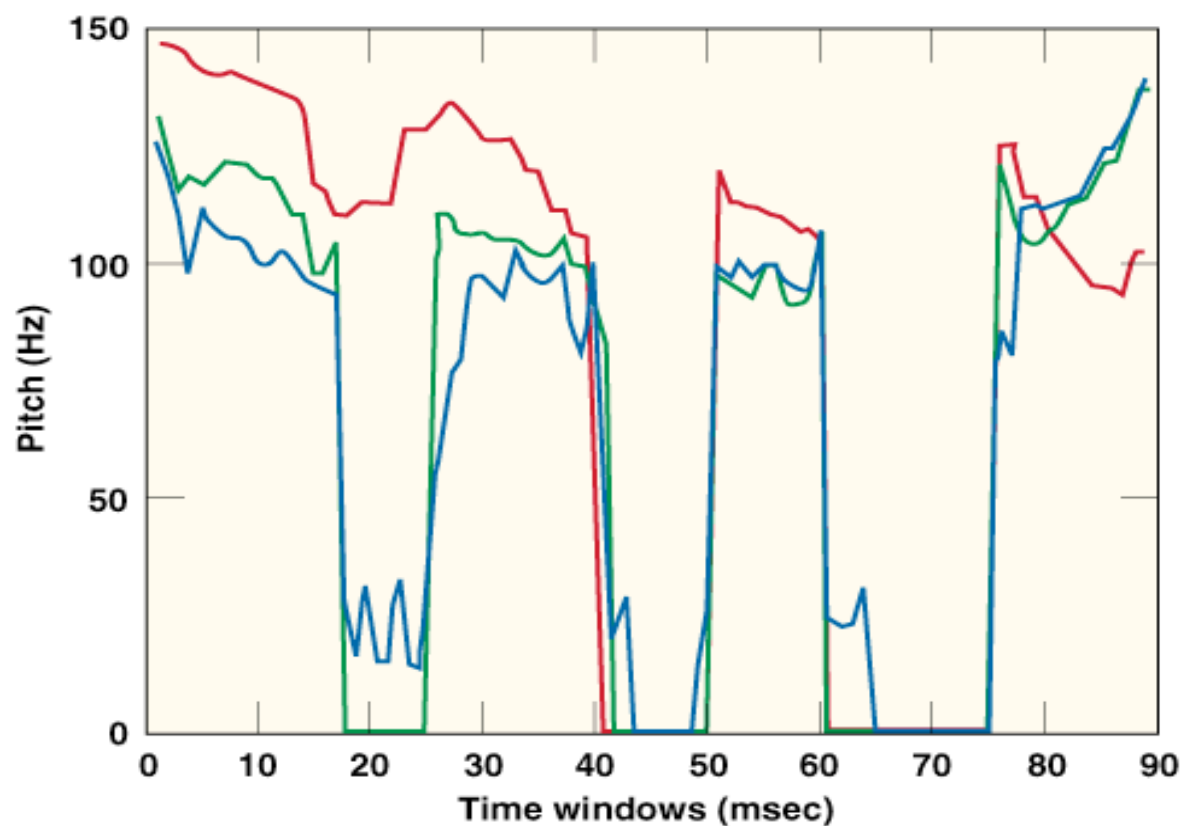
# Measurements have validated many specific speaker identification characteristics

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- **Pitch tracking versus fixed word sets**
- **Physiological dimensions: vocal tract length, vocal fold vibration rates**
- **Vocal tract transfer functions for all voiced sound units**
- **Individual organ motion rates and signal amplitudes versus time**

# A preliminary experiment used EM sensed pitch tracking to validate the speaker “John”

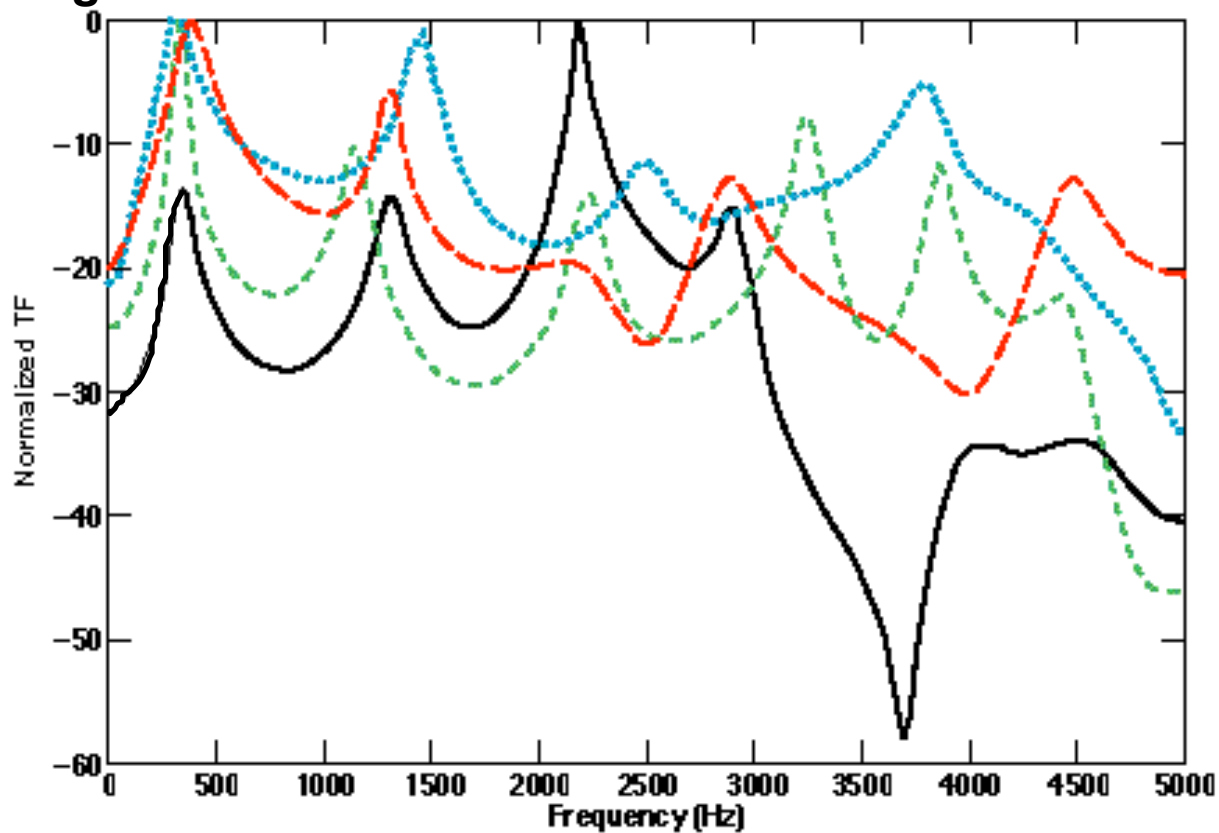


■ John's standard    ■ John's score is 681    ■ Andy's score is 1,816

# Measurements have validated four speaker-specific vocal transfer-function characteristics



Single sound unit /u/



# The EM sensor/acoustic speaker verification system uses a large number of templates

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- The speaker is “templated” using 10 minutes of continuous speech into an EM validation microphone
  - In response to many questions
  - At a site where his identify is initially validated
- The data includes a large number of word patterns and corresponding speech articulator motions
  - Compressed into a large number of templates
  - Stored at Federal Headquarters

# The EM sensor/acoustic speaker verification system is very difficult to deceive

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- From any site with an EM/acoustic validation microphone, the user may call the federal agency center
  - A large number of questions are posed to the user
  - The federal center chooses the templates it wishes at random to test the user
  - A score is obtained by matching recognized patterns to the templates
- Deception is difficult because
  - Random questions and templates can be used
  - The questions must be recognized, answers formulated, and responses given in second(s)
  - Even with microphone and speaker data, the question/answer cycle is not possible to simulate in such short time periods.



# **R&D is required in order to validate the degree of usefulness of this new biometric concept**

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- **Validate on a statistically significant number of subjects**
- **Determine the types of vocabularies needed for optimum template libraries**
- **Analyse against security metrics**
- **Determine template stability of individuals over useful periods of time**

## **In addition to speaker validation, EM sensors have other important speech applications**

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- **Higher quality speech recognition because of increased speed and accuracy of sound characterization**
- **Personalized speech synthesis**
- **Speech coding for telephony, compression, encryption, and other applications is possible**
- **It should enhance speech correction, language learning, and aid the speech/hearing impaired**

# EM sensor/acoustic voice recognition systems should have advantages over other systems



- More accurate biometric analysis
- Remote application
- Difficult/impossible to imitate w/random questions
- Audit trail
- Lower data storage requirements for templates
- No health and safety concerns (noncontact)
- Ease of use
- Continuous verification
- No time for “man in middle”

